

COPY OF PAPERS  
ORIGINALLY FILED Attorney's Docket No: A180 1010

Applicant: Moser et al.

Entitled: COMPOSITIONS AND METHODS FOR PROMOTING OR INHIBITING ANGIOGENESIS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS {37 CFR 1.9 AND 1.27} - CLAIMANT OF PATENT RIGHTS**

As a below named Claimant, We hereby declare that:

We qualify as ☒ a Small Business Concern ☐ a Non-Profit Organization as defined in 37 CFR 1.9 for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **COMPOSITIONS AND METHODS FOR PROMOTING OR INHIBITING ANGIOGENESIS** described in the specification filed herewith.

We have not assigned, granted, conveyed or licensed and are under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization  
☒ Persons, concerns or organizations listed below

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. {37 CFR 1.28(b)}

I, the undersigned individual, warrant that I am an officer authorized to execute this document on behalf of the Claimant; I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: December 14, 2001

By: [Signature]  
Typed Name: Robert L. Taber  
Position: Vice Chancellor of Science and Technology Development



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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Moser et al. )  
Serial No: 09/862,798 )  
Filed: May 22, 2001 ) Attorney Docket: A180 1010

For: **COMPOSITIONS AND METHODS FOR PROMOTING OR INHIBITING  
ANGIOGENESIS**

**SMALL ENTITY STATEMENT TRANSMITTAL**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits herewith an original, executed Small Entity Statement. Please place this in the U.S. Patent and Trademark Office's records.

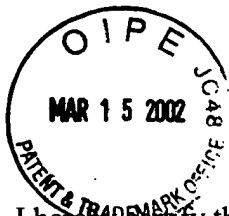
It is believed that no fee is required for this submission. However, if a fee is due, the Commissioner is hereby authorized to charge the fee(s) to Deposit Account No. **09-0528**. This Small Entity Statement Transmittal is submitted in duplicate.

Respectfully submitted,

David S. Bradin  
Reg. No. 37,783  
Attorney for Applicant

Date: 1/17/02

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**CERTIFICATE OF MAILING**

I hereby certify that the following documents are being mailed in an envelope by First Class mail to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

Small Entity Statement Transmittal (in duplicate)  
Original, Executed Small Entity Statement  
Return Postcard

on 1-17-02

Teresa L. Burgess  
(Typed or printed name of person mailing  
correspondence)

*Teresa Burgess*  
(Signature of person mailing correspondence)

Serial Number: 09/862,798 Filing Date: May 22, 2001  
Examiner: Unassigned Art Unit: Unassigned  
Title: **COMPOSITIONS AND METHODS FOR PROMOTING OR  
INHIBITING ANGIOGENESIS**  
Our Reference Number: A180 1010 (41689.0003.7)